

Notification document for transboundary movements/shipments of waste 11494 2E 1

1. Exporter - notifier Registration No: Name: A&S International Corporation Address: 10164 Parkwood Dr. #8, Cupertino, CA 95014, U.S.A. Contact person: Chris Kim Tel: (408) 510-2375 Fax: (408) 701-0104 E-mail: chriskim6@gmail.com	3. Notification No: Notification concerning A.(i) Individual shipment: <input type="checkbox"/> (ii) Multiple shipments: <input checked="" type="checkbox"/> B.(i) Disposal (1): <input type="checkbox"/> (ii) Recovery: <input checked="" type="checkbox"/> C. Pre-consented recovery facility (2,3) Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> 4. Total intended number of shipments: 120 5. Total intended quantity (4): Tonnes (Mg): 3,000 Metric Tons m ³ : 6. Intended period of time for shipment(s) (4): First departure: 04-01-2015 Last departure: 03-31-2016 7. Packaging type(s) (5): 9 (Palletized) Special handling requirements (6): Yes: <input checked="" type="checkbox"/> No: <input type="checkbox"/> 11. Disposal / recovery operation(s) (2) D-code / R-code (5): R4 Technology employed (6): Smelting Reason for export (1;6): Lead metal production through smelting 12. Designation and composition of the waste (6): Spent Lead Acid Batteries, not drained of acid, non-spillable 13. Physical characteristics (5): 2 14. Waste identification (fill in relevant codes) (i) Basel Annex VIII (or IX if applicable): A1160 (ii) OECD code (if different from (i)): A1160 (iii) EC list of wastes: 160601 (iv) National code in country of export: D008, D002 (v) National code in country of import: 02-01-02 (vi) Other (specify): (vii) Y-code: Y31 (viii) H-code (5): H11 (ix) UN class (5): 8 (x) UN Number: UN2794 (xi) UN Shipping name: (xii) Customs code(s) (HS): 8548-10-0540												
2. Importer - consignee Registration No: Name: Step International Co., Ltd. Address: B102, Century business center 327, Gangnam-daero, Seocho-gu, Seoul, Korea Contact person: Jaegyu Choi Tel: 82-70-4618-4407 Fax: E-mail: 8. Intended carrier(s) Registration No: Name(7): See attached list Address: Contact person: Tel: Fax: E-mail: Means of transport (5): 9. Waste generator(s) - producer(s) (1;7;8) Registration No: Name: Same as block 1 Address: Contact person: Tel: Fax: E-mail: Site and process of generation (6)	10. Disposal facility (2): <input type="checkbox"/> or recovery facility (2): <input checked="" type="checkbox"/> Registration No: 134-81-09754 Name: Joong-il Metals Inc. Address: 73, Shinwon-Ro, Seonggok-Dong, Danwon-Gu, Ansan-Si, Gyeonggi-Do, Korea Contact person: Sanghyun Baek Tel: 82-31-508-6966 Fax: 82-31-508-6968 E-mail: Actual site of disposal/recovery: Ansan smelting plant												
15. (a) Countries/States concerned, (b) Code no. of competent authorities where applicable, (c) Specific points of exit or entry (border crossing or port) <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 33%;">State of export - dispatch</th> <th style="width: 33%;">State(s) of transit (entry and exit)</th> <th style="width: 33%;">State of import - destination</th> </tr> </thead> <tbody> <tr> <td>(a) U.S.A.</td> <td></td> <td>Republic of Korea</td> </tr> <tr> <td>(b) USA01</td> <td></td> <td>Han River Basin Environmental Office</td> </tr> <tr> <td>(c) Any port, USA (see attached)</td> <td></td> <td>Busan, Korea</td> </tr> </tbody> </table>		State of export - dispatch	State(s) of transit (entry and exit)	State of import - destination	(a) U.S.A.		Republic of Korea	(b) USA01		Han River Basin Environmental Office	(c) Any port, USA (see attached)		Busan, Korea
State of export - dispatch	State(s) of transit (entry and exit)	State of import - destination											
(a) U.S.A.		Republic of Korea											
(b) USA01		Han River Basin Environmental Office											
(c) Any port, USA (see attached)		Busan, Korea											
16. Customs offices of entry and/or exit and/or export (European Community): Entry: Exit: Export:													
17. Exporter's - notifier's / generator's - producer's (1) declaration: I certify that the information is complete and correct to my best knowledge. I also certify that legally enforceable written contractual obligations have been entered into and that any applicable insurance or other financial guarantee is or shall be in force covering the transboundary movement. Exporter's - notifier's name: Chris Kim Date: 02/10/2015 Signature: Generator's - producer's name: Date: Signature:													
FOR USE BY COMPETENT AUTHORITIES													
19. Acknowledgement from the relevant competent authority of countries of import - destination / transit (1) / export - dispatch (9): Country: Notification received on: Acknowledgement sent on: Name of competent authority: Stamp and/or signature:	20. Written consent (1;8) to the movement provided by the competent authority of (country): Consent given on: Consent valid from: until: Specific conditions: No: <input type="checkbox"/> If Yes, see block 21 (6): <input type="checkbox"/> Name of competent authority: Stamp and/or signature:												
21. Specific conditions on consenting to the movement document or reasons for objecting													

(1) Required by the Basel Convention

(2) In the case of an R12/R13 or D13-D15 operation, also attach corresponding information on any subsequent

R12/R13 or D13-D15 facilities and on the subsequent R1-R11 or D1-D12 facility(ies) when required

(3) To be completed for movements within the OECD area and only if B(ii) applies

(4) Attach detailed list if multiple shipments

(5) See list of abbreviations and codes on the next page

(6) Attach details if necessary

(7) Attach list if more than one

(8) If required by national legislation

(9) If applicable under the OECD Decision

List of abbreviations and codes used in the notification document

DISPOSAL OPERATIONS (block 11)

- D1 Deposit into or onto land, (e.g., landfill, etc.)
- D2 Land treatment, (e.g., biodegradation of liquid or sludgy discards in soils, etc.)
- D3 Deep injection, (e.g., injection of pumpable discards into wells, salt domes or naturally occurring repositories, etc.)
- D4 Surface impoundment, (e.g., placement of liquid or sludge discards into pits, ponds or lagoons, etc.)
- D5 Specially engineered landfill, (e.g., placement into lined discrete cells which are capped and isolated from one another and the environment, etc.)
- D6 Release into a water body except seas/oceans
- D7 Release into seas/oceans including sea-bed insertion
- D8 Biological treatment not specified elsewhere in this list which results in final compounds or mixtures which are discarded by means of any of the operations in this list
- D9 Physico-chemical treatment not specified elsewhere in this list which results in final compounds or mixtures which are discarded by means of any of the operations in this list (e.g., evaporation, drying, calcination, etc.)
- D10 Incineration on land
- D11 Incineration at sea
- D12 Permanent storage, (e.g., emplacement of containers in a mine, etc.)
- D13 Blending or mixing prior to submission to any of the operations in this list
- D14 Repackaging prior to submission to any of the operations in this list
- D15 Storage pending any of the operations in this list

RECOVERY OPERATIONS (block 11)

- R1 Use as a fuel (other than in direct incineration) or other means to generate energy (Basel/OECD) - Use principally as a fuel or other means to generate energy (EU)
- R2 Solvent reclamation/regeneration
- R3 Recycling/reclamation of organic substances which are not used as solvents
- R4 Recycling/reclamation of metals and metal compounds
- R5 Recycling/reclamation of other inorganic materials
- R6 Regeneration of acids or bases
- R7 Recovery of components used for pollution abatement
- R8 Recovery of components from catalysts
- R9 Used oil re-refining or other reuses of previously used oil
- R10 Land treatment resulting in benefit to agriculture or ecological improvement
- R11 Uses of residual materials obtained from any of the operations numbered R1-R10
- R12 Exchange of wastes for submission to any of the operations numbered R1-R11
- R13 Accumulation of material intended for any operation in this list.

PACKAGING TYPES (block 7)

- 1. Drum
- 2. Wooden barrel
- 3. Jerrican
- 4. Box
- 5. Bag
- 6. Composite packaging
- 7. Pressure receptacle
- 8. Bulk
- 9. Other (specify)

MEANS OF TRANSPORT (block 8)

R = Road
T = Train/rail
S = Sea
A = Air
W = Inland waterways

PHYSICAL CHARACTERISTICS (block 13)

- 1. Powdery/powder
- 2. Solid
- 3. Viscous/paste
- 4. Sludgy
- 5. Liquid
- 6. Gaseous
- 7. Other (specify)

H-CODE AND UN CLASS (block 14)

UN Class	H-code	Characteristics
1	H1	Explosive
3	H3	Flammable liquids
4.1	H4.1	Flammable solids
4.2	H4.2	Substances or wastes liable to spontaneous combustion
4.3	H4.3	Substances or wastes which, in contact with water, emit flammable gases
5.1	H5.1	Oxidizing
5.2	H5.2	Organic peroxides
6.1	H6.1	Poisonous (acute)
6.2	H6.2	Infectious substances
8	H8	Corrosives
9	H10	Liberation of toxic gases in contact with air or water
9	H11	Toxic (delayed or chronic)
9	H12	Ecotoxic
9	H13	Capable, by any means, after disposal of yielding another material, e. g., leachate, which possesses any of the characteristics listed above

Intended carrier(s) – Block 8

Carrier(s) in US

8. Intended carrier(s) Registration No:			
Name(7):	ALT Intermodal Inc.		
Address:	675 Hegenberger Road, Suite 201, Oakland, CA 94621, U.S.A.		
Contact person:	Roberto Vargas		
Tel:	(510) 562-2633	Fax:	(866) 687-8210
E-mail:	tito@altmodal.com		
Means of transport (5):	R		
8. Intended carrier(s) Registration No:			
Name(7):	Perfect Express Corporation		
Address:	220 N. Inglewood Avenue, Inglewood, CA 90301, U.S.A.		
Contact person:	Philip Lee		
Tel:	(310) 671-2155	Fax:	(310) 671-0301
E-mail:	Sales-lax3@perfectexp.com		
Means of transport (5):	S		

Carrier in Korea

8. Intended carrier(s) Registration No:			
Name(7):	Kwang Jin Logistics Co., Ltd.		
Address:	9B 5L Banwol Complex 835. Wondsi-Dong, Danwon-Gu, Ansan-Si, Kyunggi-Do, Korea		
Contact person:	Hae-Jin Jeon		
Tel:	82-31-495-5001	Fax:	82-31-494-6488
E-mail:	hj841211@naver.com		
Means of transport (5):	R		

List of Specific points of exit (Export Port)

U.S port of exit likely to be used are as follows.

1. Oakland, CA
2. Long Beach, CA
3. Los Angeles, CA
4. Miami, FL
5. Savannah, GA
6. Charleston, SC
7. New York, NY
8. Seattle, WA
9. Tacoma, WA
10. Portland, OR
11. Houston, TX



A&S INTERNATIONAL CORPORATION
10164 PARK WOOD DR. #8, CUPERTINO, CA 95014
TEL: 408-510-2375 FAX: 408-701-0104

Date: February 10, 2015

ATTN : Ms. Jana Tatum
Office of Enforcement and Compliance Assurance,
Office of Federal Activities,
International Compliance Assurance Division (2254A)
United States Environmental Protection Agency

Dear Ms. Jana Tatum,

I'm submitting 2 new export notifications regarding SLABs export as attached.
Followings are summary of these 2 notifications and concerned Korean EPA authorities.

1. Importer : Step International Co., Ltd / Recovery facility : Kookjea Metallic Co., Ltd.
Daegu Regional Environmental Office
Ministry of Environment, Republic of Korea
301 Hwaam-Ro, Dalseo-Gu, Daegu City, 704-888 Korea
Attention : Ms. Miyeon Lee / Environmental Management Division
Tel : 82-53-230-6432, Fax : 82-53-761-0128

2. Importer : Step International Co., Ltd / Recovery facility : Joong-il Metals Inc.
Han River Basin Environmental Office
Ministry of Environment, Republic of Korea
229 Misagangbyun-Ro, Hanam-City, Gyeonggi-Do, Korea 465-150
Attention : Mr. Dae-Han Ko / Environmental Management Division
Tel : 82-31-790-2836, Fax : 82-31-790-2809

If you have any questions, please let me know.

Best regards,

A handwritten signature in blue ink, appearing to read 'Chris Kim', with a stylized, flowing script.

Chris Kim
A&S International Corporation